



PATENT  
Docket No. 286002023020

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on May 14, 2004.

Conchita Picazo-Mejia

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Matthew O' KEEFE et al.

Serial No.: 09/935,455

Filing Date: August 22, 2001

For: APPARATUS AND METHODS FOR  
PARALLEL PROCESSING OF  
MICROVOLUME LIQUID REACTIONS

Examiner: W. Beisner

Group Art Unit: 1744

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MAY 27 2004  
TC 1700

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

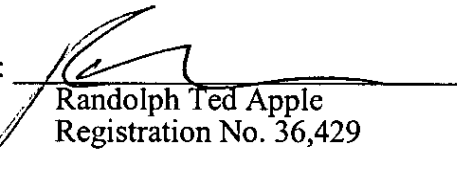
The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 286002023020. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 14, 2004

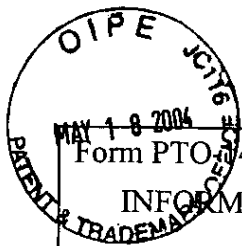
Respectfully submitted,

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Form PTO/SB/08-449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION*To Be forwarded to client*

Docket Number 286002023020

Application Number 09/935,455

Applicant

Matthew O'KEEFE et al.

Filing Date August 22, 2001

Group Art Unit 1744

Mailing Date May 11, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/25/2003	2003-0180807	Hess et al.			
	2.	07/03/2003	2003-0124716	Hess et al.			
	3.	01/03/2002	2002-0001546	Hunter et al.			
	4.	10/23/2001	6,306,578	Schellenberger et al.			
	5.	10/30/2001	6,309,600	Hunter			
	6.	08/20/2002	6,436,632	Schellenberger et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	7.	11/07/2002	WO 02/87764	WIPO			
	8.	04/18/2002	WO 02/30561	WIPO			
	9.	01/09/2003	WO 03/02226	WIPO			

## OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

pa- 885284

PTO/SB/ 08 (2-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE